



#6 IDS 688
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hemplemen et al) Docket PRE2519P0010
Serial No.: 08/859,995) Group Art Unit: 2414
Filed: May 21, 1997)
For: List Building System)
Examiner:)

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

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Attached Form PTO-1449 lists references which may be considered to be material to the above-identified application by the Patent Examiner. Copies of the various documents are enclosed. Entry into the record is respectfully requested.

No representation is made or intended that a search has been made or, if made, was complete, or that no more pertinent art than that listed is available. It is expected that the Patent and Trademark Office will independently conduct a complete search for relevant prior art.

The following, listed on the 1449 Form, are listings that describe CD-related programs for use on personal computers. They are believed to have publication dates which precede the filing date of the subject application:

1. Three copies of screens illustrating aspects of a CD player provided by the Windows 95 Operating System;
2. Listing bearing the date 1-28-94 describing two interactive CD related programs, CDBase and CDBase Player;
3. Listing bearing the date 1-24-96 describing "A REAL 32 BIT Windows 95 CD Player;

4. Listing bearing the date of 7-29-96 describing "CDRunner", a CD-related program;
5. Listing bearing the date 2-12-96 describing "Music CD", a Windows CD player;
6. Listing bearing the date 2-22-96, two pages, describing "Super CD", a Windows CD driver;
7. Listing bearing the date 7-23-95 describing a CD ROM player for use on a PC;
8. Listing bearing the date 7-20-95 describing a CD Assistant V1.0 for Windows;
9. Listing bearing the date 2-1-94 describing CDMASTER version 1.2 CD player;
10. Listing bearing the date 10-13-96 describing "EagCD", a program for playing CDs;
11. Listing bearing the date 2-5-96 describing "CD Satellite 3", a music playing program;
12. Listing bearing the date 2-1-94 describing "CDAUDIO V1.27U", a CD audio player;
13. Listing bearing the date 2-1-94 describing an Audio Compact Disc Player for Windows;
14. Listing bearing the date 2-1-94 describing a CD player for Windows;
15. Listing bearing a date 6-23-94 describing "MEGA CD V1.1 Audio Honker for Windows", a CD audio player;
16. Listing bearing the date 12-20-94 describing "WinDisc", a CD audio player;
17. Listing bearing the date 1-24-96 describing "A REAL 32 BIT" CD player for Windows 95;
18. Listing dated 2-1-94 describing a package called "CD Player 2.0 for Windows";
19. Listing dated 5-25-95 describing "CD Wizzard", a CD audio player;

20. Listing dated 9-14-95 describing CD Tray, Version 3.03, a database system for CDs.
21. Listing dated 8-13-95 describing "Optical Jammer 4.0", a CD audio player;
22. Listing dated 10-13-96 describing "EagCD", an audio CD playing utility;
23. Listing dated 02-1-94 describing "CDMASTER", a CD audio player;
24. Listing dated 10-3-93 describing "Audio Pro", a CD audio player;
25. Listing dated 11-5-95 describing "The Pause Provider" for editing a player list;
26. Listing dated 4-16-95 describing "Version 4.00 of DMP", a module player for MS-DOS machines;
27. Listing dated 12-20-94 describing "WINDISC", an audio CD player;
28. Listing dated 2-5-96 describing "CD Satellite 3", an audio CD player and playlist builder;
29. Listing dated 11-14-95 describing "RibbonCD V1.0", a CD player for Windows;
30. Listing dated 10-17-94 describing "CDBAR", an audio CD player for Windows;
31. Listing dated 12-17-95 describing "CD Assistant", a music collection organizer;
32. Listing dated 7-30-95 describing "HomeWorks" and "Personal Possessions" programs for organizing personal property such as records, tapes and CD's;
33. Listing of CD/Spectrum Pro 3.2 Home Page, 2 sheets, a CD audio player, with a last update date of 6-19-97 and two sheets associated therewith defining CDDDB Database Connectivity;
34. Printout dated 12-31-96 which describes "CD-REC" for recording off of an audio-CD;

35. Listing dated 9-14-94 which describes "CD Audio Recorder" for recording off of an audio CD;
36. Listing dated 12-17-95 describing "CD Assistant" for organizing a music collection;
37. Listing dated 12-31-96 which describes "CD-REC" for recording an audio-CD;
38. Listing dated 2-20-94 describing "CDGP" for digital recording of an audio-CD;
39. Listing dated 7-11-94 which describes "MODAC", a recorder and a player of WAV files;
40. Listing dated 12-31-96 which describes "CD-REC" for recording an audio-CD;
41. Listing dated 9-14-94 which describes "CD Audio Recorder" for recording WAV files off of an audio CD;
42. Listing dated 10-17-93 which describes "Wave After Wave", a CD Audio player for Windows 3.1;
43. Listing dated 4-30-96 which describes a jukebox playing module usable with Windows 95;
44. Listing dated 6-8-93 which describes "MULTIMEDIA CHANGER V.10" for playing WAV files;
45. Listing dated 1-15-95 which describes "WaveMaster", a program for playing .WAV files;
46. Listing dated 2-1-94 which describes a CDAUDIO player for Windows;
47. Listing dated 4-16-97 which describes "CDMASTER", a CD player module;
48. Listing dated 5-18-96 which describes "TapeMaker", a system for organizing a music collection.

The following publications, based on present information, are believed to have a publication date subsequent to the filing date of the above-identified

application. They are not believed to be prior art relative to the above-identified application. They are however presented for the Examiner's consideration:

1. Article dated 12-1-97 entitled "Download favorite music from Web into computer";
2. An article believed to have been published 8-3-97 entitled "World Wide Wurlitzer";

The Commissioner is hereby authorized to charge any additional fees which may be required to this application under 37 CFR §§1.16-1.17, or credit any overpayment, to Deposit Account No. 04-1644. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 04-1644. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

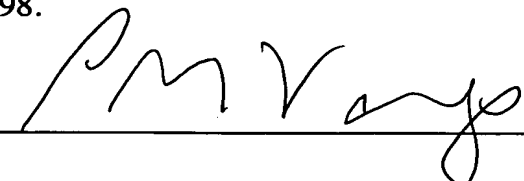
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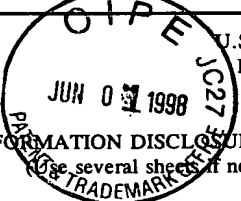

Paul M. Vargo, Reg. No. 29,116

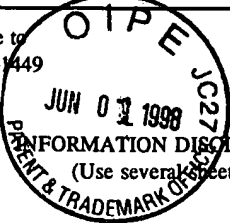
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CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service with sufficient postage as EXPRESS MAIL, Label EL 048 605 006 US, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on June 1, 1998.



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| Comparable to Form PTO-1449 | | U.S. Department of Commerce Patent and Trademark Office | | Atty. Docket No. PRE2519P0010US | | Serial No. 08/859,995 | | |
|  INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) | | | | Applicant James D. Hempleman, Sandra M. Hempleman, Neil A. Schneider | | | | |
| | | | | Filing Date May 21, 1997 | | Group 2414 | | |
| U.S. PATENT DOCUMENTS | | | | | | | | |
| *Examiner Initial | | Document Number | Date | Name | Class | Subclass | Filing Date If Appropriate | |
| | | 3,291,919 | 12/13/66 | Robitaille | | | | |
| | | 4,647,989 | 03/03/87 | Geddes | | | | |
| | | 4,675,755 | 06/23/87 | Baumeister et al | | | | |
| | | 4,703,465 | 10/27/87 | Parker | | | | |
| | | 4,779,252 | 10/18/88 | Custers et al | | | | |
| | | 5,113,383 | 05/12/92 | Amemiya et al | | | | |
| | | 5,157,643 | 10/20/92 | Suzuki | | | | |
| | | 5,168,481 | 12/01/92 | Culbertson et al | | | | |
| | | 5,173,888 | 12/22/92 | An | | | | |
| | | 5,197,047 | 03/23/93 | Witheridge et al | | | | |
| | | 5,331,614 | 07/19/94 | Ogawa et al | | | | |
| | | 5,428,732 | 06/27/95 | Hancock et al | | | | |
| | | 5,481,509 | 01/02/96 | Knowles | | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | |
| | | Document Number | Date | Country | Class | Subclass | Translation | |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | | |
| | | Three copies of screens illustrating aspects of a CD player provided by the Windows 95 Operating System; | | | | | | |
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| Examiner | | | | | Date Considered | | | |
| *Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | | |

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| Comparable to Form PTO-1449  | U.S. Department of Commerce Patent and Trademark Office | Atty. Docket No. PRE2519P0010US Applicant James D. Hempleman, Sandra M. Hempleman, Neil A. Schneider Filing Date May 21, 1997 | Serial No. 08/859,995 Group 2414 |
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| Examiner | Date Considered | | |
| *Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | |

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